



INDIVIDUAL/JOINT ACCOUNT APPLICATION FORM

*Items marked with an asterisk are mandatory fields.

***Type of Account:** Forex CFD

* **Application Type (check one only):** Individual Joint Account

***Select the currency your account will be denominated in:** SGD USD EUR JPY GBP

***Account Settings (check one only):**

Lot Size Leverage
 100,000 100:1 (1% margin requirement)
 10,000 50:1 (2% margin requirement)
 Other _____ Other _____
(In Increments of 1000)

Personal Information

*First Name _____ Middle Name _____ *Last Name _____

*Residence Address _____ Apt. No. _____

*City _____ State/Province _____ *Postcode _____

*Country of Residence: _____ *Singapore Citizen? Yes No If no, specify country: _____

Mailing Address (if different from above) _____ Apt. No. _____

City _____ State/Province _____

Postcode _____ Country _____

*Telephone (_____) _____ Mobile (_____) _____

*Email Address _____

Photo ID Type: Government Issued ID Passport Driver's License Other _____

* ID/Passport No. _____ *Date of Birth _____ Gender: Male Female

*Occupation _____ Company Name _____

Company Address _____ Apt. No. _____

Company Telephone (_____) _____

City _____ State/Province _____

Postcode _____ Country _____

*Nature of Business _____

Name of Referring Party, Introducing Broker or GFT Representative _____

***Security Questions**

A security question helps GFT quickly verify your identification when you call with a question or need support.

*What is your mother's maiden name? _____

*What city/country were you born in? _____

*** Financial Information**

***Annual Income:** S\$0 S\$1 – S\$35,000 S\$35,001 – S\$140,000
 S\$140,001 – S\$700,000 S\$700,001 – S\$1,400,000 S\$1,400,001+

***Net Worth:** S\$0 S\$1 – S\$35,000 S\$35,001 – S\$140,000
 S\$140,001 – S\$700,000 S\$700,001 – S\$1,400,000 S\$1,400,001+

***Source of funds:** Employment Investments Inheritance

*1. Are you the debtor in a pending bankruptcy proceeding? Yes No

*2. Does any other person or entity have a financial interest in this account? Yes No

Name of Person/Entity: _____

***Joint Account Holder Information**

*First Name _____ Middle Name _____ *Last Name _____

*Residence Address _____ Apt. No. _____

*City _____ State/Province _____ *Postcode _____

*Country of Residence: _____ *Singapore Citizen? Yes No If no, specify country: _____

Mailing Address (if different from above) _____ Apt. No. _____

City _____ State/Province _____

Postcode _____ Country _____

*Telephone (_____) _____ Mobile (_____) _____

*Email Address _____

Photo ID Type: Government Issued ID Passport Driver's License Other _____

*ID/Passport No. _____ *Date of Birth _____ Gender: Male Female

*Occupation _____ Company Name _____

Company Address _____ Apt. No. _____

Company Telephone (_____) _____

City _____ State/Province _____

Postcode _____ Country _____

*Nature of Business _____

***Joint Account Holder Financial Information**

*Annual Income: S\$0 S\$1 – S\$35,000 S\$35,001 – S\$140,000

S\$140,001 – S\$700,000 S\$700,001 – S\$1,400,000 S\$1,400,001+

*Net Worth: S\$0 S\$1 – S\$35,000 S\$35,001 – S\$140,000

S\$140,001 – S\$700,000 S\$700,001 – S\$1,400,000 S\$1,400,001+

*Source of funds: Employment Investments Inheritance

*1. Are you the debtor in a pending bankruptcy proceeding? Yes No

*2. Does any other person or entity have a financial interest in this account? Yes No

Name of Person/Entity: _____

***Customer Knowledge Assessment**

NOTE: Any inaccurate or incomplete information provided by you may affect the outcome of this Assessment

1. Do you hold a diploma or has higher qualifications in:

Primary Account Holder Joint Account Holder

Accountancy	<input type="checkbox"/>	<input type="checkbox"/>
Actuarial science	<input type="checkbox"/>	<input type="checkbox"/>
Business/Business Administration/Business Management	<input type="checkbox"/>	<input type="checkbox"/>
Business studies	<input type="checkbox"/>	<input type="checkbox"/>
Capital markets	<input type="checkbox"/>	<input type="checkbox"/>
Commerce	<input type="checkbox"/>	<input type="checkbox"/>
Economics	<input type="checkbox"/>	<input type="checkbox"/>
Finance	<input type="checkbox"/>	<input type="checkbox"/>
Financial Engineering	<input type="checkbox"/>	<input type="checkbox"/>
Financial Planning	<input type="checkbox"/>	<input type="checkbox"/>
Computational Finance	<input type="checkbox"/>	<input type="checkbox"/>
Insurance	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	<input type="checkbox"/>

2. Do you have a professional finance-related qualification?

	<u>Primary Account Holder</u>	<u>Joint Account Holder</u>
Chartered Institute of Securities & Investment (CISI)	<input type="checkbox"/>	<input type="checkbox"/>
Chartered Alternative Investment Analyst (CAIA)	<input type="checkbox"/>	<input type="checkbox"/>
Certified Financial Technician (CFTe)	<input type="checkbox"/>	<input type="checkbox"/>
Financial Risk Manager (FRM)	<input type="checkbox"/>	<input type="checkbox"/>
Chartered Financial Consultant (ChFC)	<input type="checkbox"/>	<input type="checkbox"/>
Associate Financial Planner (AFP)	<input type="checkbox"/>	<input type="checkbox"/>
Associate Wealth Planner (AWP)	<input type="checkbox"/>	<input type="checkbox"/>
Certified Financial Planner (CFP)	<input type="checkbox"/>	<input type="checkbox"/>
Certified Public Account (CPA/ACCA)	<input type="checkbox"/>	<input type="checkbox"/>
Chartered Financial Analyst (CFA)	<input type="checkbox"/>	<input type="checkbox"/>
CMFAS (Module 6A Securities and Futures Product Knowledge)	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	<input type="checkbox"/>

3. Have you invested in Specified Investment Products (Leverage Foreign Exchange Trading [Forex], Contract for Differences [CFDs]) which are neither listed nor quoted on a securities market or a futures market (excluding CIS and ILPs) at least 6 times in the preceding 3 years?

<u>Primary Account Holder</u>	<u>Joint Account Holder</u>
<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
<input type="checkbox"/> No	<input type="checkbox"/> No

4. Do you have a minimum of 3 consecutive years of working experience in the past 10 years in the development of, structuring of, management of, sale of, trading of, research on and analysis of investment products or the provision of training in investment products? *Work experience in accountancy, actuarial science, treasury, financial risk management activities or the provision of legal advice or possession of legal experience is also considered as relevant experience.*

<u>Primary Account Holder</u>	<u>Joint Account Holder</u>
<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
<input type="checkbox"/> No	<input type="checkbox"/> No

***ACKNOWLEDGEMENT**

	<u>Primary Account Holder</u>	<u>Joint Account Holder</u>
a. I hereby acknowledge that any inaccurate or incomplete information provided by me may affect the outcome of this Customer Knowledge Assessment.	<input type="checkbox"/>	<input type="checkbox"/>
b. I hereby acknowledge that it is my responsibility to ensure the suitability of the product selected.	<input type="checkbox"/>	<input type="checkbox"/>
c. I hereby acknowledge that GFT provides only execution services and is not able to provide any advice to customer even though GFT is an exempt financial adviser.	<input type="checkbox"/>	<input type="checkbox"/>
d. I hereby acknowledge that I will not be able to rely on section 27 of the Financial Advisers Act (Cap.110) to file a civil claim in the event I suffered a loss, and confirm in writing that I continue the application without advice.	<input type="checkbox"/>	<input type="checkbox"/>

***AGREEMENTS**

By checking "yes," customer acknowledges agreement and understanding of all documents listed below:

<u>Primary Account Holder</u>	<u>Joint Account Holder</u>
<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
<input type="checkbox"/> No	<input type="checkbox"/> No

- GFT Account Agreement
- Execution Policy
- Privacy Notice
- ** Risk Disclosure Statement (Form 13) as prescribed by the Securities and Futures Act, Chapter 289 of Singapore.
- ** Risk Disclosure Statement, as required by the Commodity Trading Act, section 32(1).

****Please note that these documents must be completed, signed and returned to GFT.**

By checking "yes," customer acknowledges agreement and understanding of the Disclosure Regarding GFT's Role as Counterparty to Transactions:

Primary Account Holder

Joint Account Holder

Yes
 No

Yes
 No

DISCLOSURE REGARDING GFT'S ROLE AS COUNTERPARTY TO TRANSACTIONS

THE FOREIGN CURRENCY TRADING YOU ARE ENTERING INTO IS NOT CONDUCTED ON AN EXCHANGE. GFT IS ACTING AS PRINCIPAL AND AS A COUNTERPARTY AGAINST YOU IN THESE TRANSACTIONS AND, THEREFORE, ACTS AS THE BUYER WHEN YOU SELL AND THE SELLER WHEN YOU BUY. AS A RESULT, GFT 'S INTERESTS MAY BE IN CONFLICT WITH YOURS. UNLESS OTHERWISE SPECIFIED IN A WRITTEN AGREEMENT BETWEEN GFT AND YOU, GFT ESTABLISHES THE PRICES AT WHICH IT OFFERS TO TRADE WITH YOU. THE PRICES GFT OFFERS MIGHT NOT BE THE BEST PRICES AVAILABLE AND GFT MAY OFFER DIFFERENT PRICES TO DIFFERENT CUSTOMERS.

IF GFT ELECTS NOT TO COVER ITS OWN TRADING EXPOSURE, THEN YOU SHOULD BE AWARE THAT GFT MAY MAKE MORE MONEY IF THE MARKET GOES AGAINST YOU. ADDITIONALLY, SINCE GFT ACTS AS THE BUYER OR SELLER IN THE TRANSACTION, YOU SHOULD CAREFULLY EVALUATE ANY TRADE INFORMATION YOU RECEIVE FROM GFT OR ANY OF ITS REPRESENTATIVES.

***SIGNATURE SECTION**

I/We, the applicant(s) named in this Individual/Joint Account Application Form, hereby request that GFT Global Markets Asia Pte. Ltd. ("GFT") open the Account and/or provide the services specified by me/us in this Individual/Joint Account Application Form. By signing on this Individual/Joint Account Application Form below, I am / we are acknowledging, and confirming our agreement to and to be bound by, all the terms in this Individual/Joint Account Application Form, and in all the documents checked as "Yes" under the heading "Agreements" of this Individual/Joint Account Application Form above.

Without prejudice to the generality of any terms of any document referenced under the heading "Agreements" of this Individual/Joint Account Application Form above:

- (i) I/We hereby represent and warrant that all information on this Individual/Joint Account Application Form is true, complete and accurate, and I/we agree to notify GFT of any material changes in writing; and
- (ii) GFT reserves the right, but has no duty, to verify the accuracy of information provided, and to contact such bankers, brokers and others as it deems necessary.

By signing on this Individual/Joint Account Application Form below, I am / we are providing written authorisation and consent to GFT to obtain information and conduct such checks and customer due diligence, whether from or with third parties, on me/us as GFT may deem appropriate.

AGREED AND AUTHORIZED THIS _____ DAY OF _____ 20____.

Primary Account Holder's Signature

Joint Account Holder's Signature

Primary Account Holder's Name

Joint Account Holder's Name



RISK DISCLOSURE STATEMENT (FORM 13)

SECURITIES AND FUTURES ACT
(Cap. 289)

SECURITIES AND FUTURES
(LICENSING AND CONDUCT OF BUSINESS)
REGULATIONS (Rg 10)

RISK DISCLOSURE STATEMENT REQUIRED TO BE FURNISHED UNDER SECTION 128(1) AND TO BE KEPT UNDER REGULATION 39(2)(c) BY THE HOLDER OF A CAPITAL MARKETS SERVICES LICENSE TO TRADE IN FUTURES CONTRACTS OR LEVERAGED FOREIGN EXCHANGE CONTRACTS.

1. This statement is provided to you in accordance with section 128(1) of the Securities and Futures Act (Cap. 289) (the Act).
2. This statement does not disclose all the risks and other significant aspects of trading in futures, options and leveraged foreign exchange. In light of the risks, you should undertake such transactions only if you understand the nature of the contracts (and contractual relationships) into which you are entering and the extent of your exposure to the risks. Trading in futures, options and leveraged foreign exchange may not be suitable for many members of the public. You should carefully consider whether such trading is appropriate for you in the light of your experience, objectives, financial resources and other relevant circumstances. In considering whether to trade, you should be aware of the following:

A. Futures and Leveraged Foreign Exchange Trading

(i) Effect of 'Leverage' or 'Gearing'

Transactions in futures and leveraged foreign exchange carry a high degree of risk. The amount of initial margin is small relative to the value of the futures contract or leveraged foreign exchange transaction so that the transaction is highly 'leveraged' or 'geared'. A relatively small market movement will have a proportionately larger impact on the funds you have deposited or will have to deposit; this may work against you as well as for you. You may sustain a total loss of the initial margin funds and any additional funds deposited with the firm to maintain your position. If the market moves against your position or margin levels are increased, you may be called upon to pay substantial additional funds on short notice in order to maintain your position. If you fail to comply with a request for additional funds within the specified time, your position may be liquidated at a loss and you will be liable for any resulting deficit in your account.

(ii) Risk-Reducing Orders or Strategies

The placing of certain orders (e.g. 'stop-loss' orders, where permitted under local law, or 'stop-limit' orders) which are intended to limit losses to certain amounts may not be effective because market conditions may make it impossible to execute such orders. At times, it is also difficult or impossible to liquidate a position without incurring substantial losses. Strategies using combinations of positions, such as 'spread' and 'straddle' positions may be as risky as taking simple 'long' or 'short' positions.

B. Options

(i) Variable Degree of Risk

Transactions in options carry a high degree of risk. Purchasers and sellers of options should familiarise themselves with the type of options (i.e. put or call) which they contemplate trading and the associated risks. You should calculate the extent to which the value of the options would have to increase for your position to become profitable, taking into account the premium paid and all transaction costs.

The purchaser of options may offset its position by trading in the market or exercise the options or allow the options to expire. The exercise of an option results either in a cash settlement or in the purchaser acquiring or delivering the underlying interest. If the option is on a futures contract or leveraged foreign exchange transaction, the purchaser will have to acquire a futures or leveraged foreign exchange position, as the case may be, with associated liabilities for margin (see the section on Futures and Leveraged Foreign Exchange Trading above). If the purchased options expire worthless, you will suffer a total loss of your investment which will consist of the option premium paid plus transaction costs. If you are contemplating purchasing deep-out-of-the-money options, you should be aware that, ordinarily, the chance of such options becoming profitable is remote.

Selling ('writing' or 'granting') an option generally entails considerably greater risk than purchasing options. Although the premium received by the seller is fixed, the seller may sustain a loss well in excess of the amount of premium received. The seller will be liable to deposit additional margin to maintain the position if the market moves unfavourably. The seller will also be exposed to the risk of the purchaser exercising the option and the seller will be obligated to either settle the option in cash or to acquire or deliver the underlying interest. If the option is on a futures contract or a leveraged foreign exchange transaction, the seller will acquire a futures or leveraged foreign exchange position, as the case may be, with associated liabilities for margin (see the section on Futures and Leveraged Foreign Exchange Trading above). If the option is 'covered' by the seller holding a corresponding position in the

underlying futures contract, leveraged foreign exchange transaction or another option, the risk may be reduced. If the option is not covered, the risk of loss can be unlimited.

Certain exchanges in some jurisdictions permit deferred payment of the option premium, limiting the liability of the purchaser to margin payments not exceeding the amount of the premium. The purchaser is still subject to the risk of losing the premium and transaction costs. When the option is exercised or expires, the purchaser is responsible for any unpaid premium outstanding at that time.

C. Additional Risks Common to Futures, Options and Leveraged Foreign Exchange Trading

(i) Terms and Conditions of Contracts

You should ask the corporation with which you conduct your transactions for the terms and conditions of the specific futures contract, option or leveraged foreign exchange transaction which you are trading and the associated obligations (e.g. the circumstances under which you may become obligated to make or take delivery of the underlying interest of a futures contract or a leveraged foreign exchange transaction and, in respect of options, expiration dates and restrictions on the time for exercise). Under certain circumstances, the specifications of outstanding contracts (including the exercise price of an option) may be modified by the exchange or clearing house to reflect changes in the underlying interest.

(ii) Suspension or Restriction of Trading and Pricing Relationships

Market conditions (e.g. illiquidity) or the operation of the rules of certain markets (e.g. the suspension of trading in any contract or contract month because of price limits or 'circuit breakers') may increase the risk of loss by making it difficult or impossible to effect transactions or liquidate/offset positions. If you have sold options, this may increase the risk of loss.

Further, normal pricing relationships between the underlying interest and the futures contract, and the underlying interest and the option may not exist. This can occur when, e.g., the futures contract underlying the option is subject to price limits while the option is not. The absence of an underlying reference price may make it difficult to judge 'fair' value.

(iii) Deposited Cash and Property

You should familiarise yourself with the protection accorded to any money or other property which you deposit for domestic and foreign transactions, particularly in a firm's insolvency or bankruptcy. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property which had been specifically identifiable as your own will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall.

D. Commission and Other Charges

Before you begin to trade, you should obtain a clear explanation of all commissions, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

E. Transactions in Other Jurisdictions

Transactions on markets in other jurisdictions, including markets formally linked to a domestic market, may expose you to additional risk. Such markets may be subject to a rule which may offer different or diminished investor protection. Before you trade, you should inquire about any rules relevant to your particular transactions. Your local regulatory authority will be unable to compel the enforcement of the rules of the regulatory authorities or markets in other jurisdictions where your transactions have been effected. You should ask the firm with which you conduct your transactions for details about the types of redress available in both your home jurisdiction and other relevant jurisdictions before you start to trade.

F. Currency Risks

The profit or loss in transactions in foreign currency-denominated futures and options contracts (whether they are traded in your own or another jurisdiction) will be affected by fluctuations in currency rates where there is a need to convert from the currency denomination of the contract to another currency.

G. Trading Facilities

Most open-outcry and electronic trading facilities are supported by computer-based component systems for the order-routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. Your ability to recover certain losses may be subject to limits on liability imposed by the one or more parties, namely the system provider, the market, the clearing house or member firms. Such limits may vary. You should ask the firm with which you conduct your transactions for details in this respect.

H. Electronic Trading

Trading on an electronic trading system may differ not only from trading in an open-outcry market but also from trading on other electronic trading systems. If you undertake transactions on an electronic trading system, you will be exposed to risks associated with the system including the failure of hardware and software. The result of any system failure may be that your order is either not executed according to your instructions or not executed at all.

I. Off-Exchange Transactions

In some jurisdictions, firms are permitted to effect off-exchange transactions. The firm with which you conduct your transactions may be acting as your counterparty to the transaction. It may be difficult or impossible to liquidate an existing position, to assess the value, to determine a fair price or to assess the exposure to risk. For these reasons, these transactions may involve increased risks. Off-exchange transactions may be less regulated or subject to a separate regulatory regime. Before you undertake such transactions, you should familiarise yourself with the applicable rules and attendant risks.

ACKNOWLEDGEMENT OF RECEIPT OF THIS RISK DISCLOSURE STATEMENT

This acknowledges that I/we have received a copy of the RISK DISCLOSURE STATEMENT and understand its contents.

Date

Individual / Joint Applicant Signature

For and on behalf of:

Primary Account Holder's Signature

Joint Account Holder's Signature

Primary Account Holder's Name

Joint Account Holder's Name

Corporate / Partnership Applicant Signature

For and on behalf of:

Name of Applicant and Company Stamp

Signature of Director/Partner

Signature of Director/Partner

Name and Designation of Authorised Signatory

Name and Designation of Authorised Signatory

Note:

"Margin" means an amount of money, securities, property or other collateral, representing a part of the value of the contract or agreement to be entered into, which is deposited by the buyer or the seller of a futures contract or in a leveraged foreign exchange transaction to ensure performance of the terms of the futures contract or leveraged foreign exchange transaction.



RISK DISCLOSURE STATEMENT (COMMODITY ACT)

COMMODITY TRADING ACT (CHAPTER 48A)

COMMODITY TRADING REGULATIONS

RISK DISCLOSURE STATEMENT REQUIRED TO BE FURNISHED BY A COMMODITY BROKER, COMMODITY FUTURES BROKER OR SPOT COMMODITY BROKER

1. This statement is provided to you in accordance with section 32 (1) of the Commodity Trading Act.
2. The intention of this statement is to inform you that the risk of loss in trading in commodity contracts, commodity futures contracts and in spot commodity contracts can be substantial. You should therefore carefully consider whether such trading is suitable for you in light of your financial condition.
3. In considering whether to trade, you should be aware of the following:
 - (a) Margin: You may sustain a total loss of the initial margin and any additional margins that you deposit to establish a position or maintain positions in the commodity market, commodity futures market or spot commodity market. If the market moves against your positions, you may be called upon to deposit a substantial amount of additional margins on short notice, in order to maintain your positions. If you do not provide the required margins within the prescribed time, your positions may be liquidated at a loss, and you will be liable for any resulting deficit in your account.
 - (b) Liquidation of position: Under certain market conditions, you may find it difficult or impossible to liquidate a position.
 - (c) Contingent orders: Placing contingent orders, such as "stop-loss" or "stop-limit" orders, will not necessarily limit your losses to the intended amounts, since market conditions may make it impossible to execute such orders.
 - (d) "Spread" position: A "spread" position may not be less risky than a simple "long" or "short" position.
 - (e) Leverage: The high degree of leverage that is often obtainable in commodity futures trading, trading in commodity contracts and spot commodity trading because of the small margin requirements can work against you as well as for you. The use of leverage can lead to large losses as well as gains.
 - (f) Foreign markets and off-futures exchange transactions: Funds placed with a commodity broker, commodity futures broker or spot commodity broker for the purpose of participating in foreign markets or off-futures exchange transactions, such as spot or other over-the-counter transactions, may not enjoy the same level of protection as funds placed in commodity markets or Commodity Futures Exchanges located in Singapore.
4. This brief statement cannot disclose all the risks and other significant aspects of the commodity market or of the commodity futures market. You should therefore carefully study commodity futures trading, trading in commodity contracts and spot commodity trading before you trade.

ACKNOWLEDGEMENT OF RECEIPT OF THIS RISK DISCLOSURE STATEMENT

This acknowledges that I/we have received a copy of the RISK DISCLOSURE STATEMENT and understand its contents.

Date

Individual / Joint Applicant Signature

For and on behalf of:

Primary Account Holder's Signature

Joint Account Holder's Signature

Primary Account Holder's Name

Joint Account Holder's Name

Corporate / Partnership Applicant Signature

For and on behalf of:

Name of Applicant and Company Stamp

Signature of Director/Partner

Signature of Director/Partner

Name and Designation of Authorised Signatory

Name and Designation of Authorised Signatory

Note: "Margin" means an amount of money or collateral deposited by the buyer or seller of a commodity contract, commodity futures contract or spot commodity contract to ensure performance of the terms of the contract, CTA/CTR 2001 2nd Schedule Form 3 – Risk Disclosure.
